

R E M A R K S

A Request for Continued Examination, and the requisite fee, accompany this paper for the purpose of removing the finality of the pending rejections. It is therefore requested that the above-noted claim amendments be entered and considered on the merits.

Claims 8, 20, 26, 28-30, and 32-33 are in the application, with Claims 8 and 20 having been amended. Claims 8 and 20 are the independent claims herein. No new matter has been added. Reconsideration and further examination are respectfully requested.

Claim Rejections – 35 USC § 103

Claims 8, 20, 26, 28-30 and 32-33 rejected under 35 U.S.C. 103(a) as being unpatentable over Buist U.S. Patent No. 6,408,282 in view of Kemp, II et al. U.S. Patent No. 6,766,304.

Although the Examiner has not modified the statement of the rejection, as contained in the Office Action dated May 2, 2007 and repeated in Sections 2 and 3 (pages 4-7) of the pending Office Action, the present Office Action appears to be ambiguous. That is, at pages 5-6 of the present Office Action the Examiner appears to concede that the Buist reference fails to disclose the claimed first and second intermediate components. Contrariwise, at pages 3-4 of the present Office Action the Examiner appears to interpret the claimed first and second intermediate components broadly enough to read on aspects of Buist's disclosure. In particular, the Examiner, in new language (at the bottom of page 3), asserts that Buist allows a user to "access a 'cache of information concerning financial instruments' [as in the claimed first intermediate component] ... and store user preferences [as in the claimed second intermediate component], for example trades"

In response to this apparent shift on the Examiner's part, and taking into account the Examiner's interpretation of the claimed "second intermediate component that stores user preferences for the workspace", applicants have now narrowed the "second intermediate component" by specifying that the user preferences must include "at least one of: (i) a workspace layout; (ii) a color scheme; and (iii) a type and form of information to be displayed". Support for this amendment (made in both of the independent claims, which are claims 8 and 20)

is found at page 16, lines 21-24 of the specification of the present application. With this amendment, Buist no longer suffices to supply the claimed “second intermediate component”, since the latter can no longer be satisfied by so-called user preferences such as “trades”, but must include at least one of the types of user preferences now specified in the last three lines of claims 8 and 20. Buist fails to disclose storing any of these types of user preference. It is accordingly submitted that applicants have now overcome the pending rejection, and it is requested that the pending rejection be reconsidered and withdrawn.

C O N C L U S I O N

Accordingly, Applicants respectfully request allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-3460.

Respectfully submitted,

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Date

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